SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	SIA
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Carriere Isabel V.				ier Name and Ticke <u> RIVER BA</u>	0	Symbol <u>ES INC</u> [RRBI		tionship of Reporti all applicable) Director Officer (give title below)	10% 0	Owner (specify
(Last) 1412 CENT	(First) FRE COURT DRI	(Middle) VE, SUITE 50	0 - 10 -	e of Earliest Transa /2020	ction (Month/I	Day/Year)		,	emarks)
(Street)			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	p Filing (Check	Applicable
ALEXAND	DRIA LA	71301					X	Form filed by On	e Reporting Per	son
(City)	(State)	(Zip)						Form filed by Mo Person	re than One Re	porting
		Table I - Nor	-Derivative S	ecurities Acqu	uired, Disp	osed of, or Benef	icially	Owned		
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (<i>I</i> Disposed Of (D) (Instr. 3 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership

	(montin/Day/real)	(Month/Day/Year)	8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	07/01/2020		A ⁽¹⁾		600 ⁽¹⁾	A	\$0 ⁽¹⁾	39,540 ⁽²⁾	D	
						_	e			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Secu Acqu (A) o Disp of (D	Expiration Date (Month/Day/Year) irred r osed) . 3, 4		on Date Amount of		Securities Security Underlying (Instr. 5) Derivative Security (Instr.		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects 600 shares of unvested restricted stock granted to the reporting person on July 1, 2020 that will vest in equal installments on each of the first five anniversaries of the grant date. The reported shares of unvested restricted stock are subject to forfeiture upon the occurrence of certain events specified in the restricted stock grant.

2. Includes 2,050 shares of unvested restricted stock granted to the reporting person which vest as follows: (i) 730 shares vest on July 1, 2021; (ii) 560 shares vest on July 1, 2022; (iii) 400 shares vest on July 1, 2023; (iv) 240 shares vest on July 1, 2024; and (v) 120 shares vest on July 1, 2025. The reported shares of unvested restricted stock are subject to forfeiture upon the occurrence of certain events specified in the restricted stock grant.

Remarks:

Executive Vice President, Chief Financial Officer & Assistant Secretary

/s/ Amanda W. Barnett, as Attorney-in-Fact

07/02/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.