SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres <u>Cutrer Andre</u>	1 0	on*			er Name and Ticke RIVER BA	0	Symbol I <mark>ES INC</mark> [RRBI	(Check	tionship of Reporti all applicable) Director Officer (give title	10% 0	
(Last) 1412 CENTRE ((First) COURT DRIVE,	(Middle) SUITE 50	1		e of Earliest Transa /2020	ction (Month/I	Day/Year)	X	below)	below ce President	
(Street)				4. If Ar	nendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line)	idual or Joint/Grou	p Filing (Check	Applicable
ALEXANDRIA	LA	71301						X	Form filed by On	1 0	
(City)	(State)	(Zip)							Form filed by Mo Person	re than One Re	porting
	Tab	ole I - Nor	n-Derivat	ive S	ecurities Acqu	uired, Disp	oosed of, or Benef	icially	Owned		
1. Title of Security	(Instr. 3)		2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (<i>I</i> Disposed Of (D) (Instr. 3 5)	, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

		(wonth/Day/rear)	9					Reported		(Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	07/01/2020		A ⁽¹⁾		350 ⁽¹⁾	Α	\$0 ⁽¹⁾	8,350 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Expiration Da Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		te Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects 350 shares of unvested restricted stock granted to the reporting person on July 1, 2020 that will vest in equal installments on each of the first five anniversaries of the grant date. The reported shares of unvested restricted stock are subject to forfeiture upon the occurrence of certain events specified in the restricted stock grant.

2. Includes 1,170 shares of unvested restricted stock granted to the reporting person which vest as follows: (i) 410 shares vest on July 1, 2021; (ii) 320 shares vest on July 1, 2022; (iii) 230 shares vest on July 1, 2023; (iv) 140 shares vest on July 1, 2024; and (v) 70 shares vest on July 1, 2025. The reported shares of unvested restricted stock are subject to forfeiture upon the occurrence of certain events specified in the restricted stock grant.

Remarks:

/s/ Amanda W. Barnett, as

Attorney-in-Fact

07/02/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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